

15541 U.S. PTO
09/12/03

Patent
110350-000002

Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**NEW APPLICATION TRANSMITTAL
UTILITY**

03940 U.S. PTO
10/661658
09/12/03

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventors: Jaime A. Pineda and Brendan Z. Allison

Title: METHOD AND SYSTEM FOR A REAL TIME ADAPTIVE
SYSTEM FOR EFFECTING CHANGES IN COGNITIVE-
EMOTIVE PROFILES

I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 C.F.R. § 1.53(b):

- 10 Page(s) of Written Description
4 Page(s) of Claims
1 Page(s) of Abstract
5 Sheets of Drawings ☒ Formal ☐ Informal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
☐ Assignment to _____ and assignment cover sheet
☒ Return Postcard

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EL986038291US
Express Mail Label No.

September 12, 2003
Date of Deposit

Marcelle A. Oyos
Name of Person Mailing Paper

Marcelle A. Oyos
Signature of Person Mailing Paper

III. FEE CALCULATION

☒ Applicant claims small entity status under 37 CFR 1.27.

| BASIC FILING FEE: | | | | | | | | \$750.00 |
|---|----|-----------------|----|---|----|---|-------------------------------------|----------|
| Total Claims | 31 | - | 20 | = | 11 | x | \$18.00 | \$198.00 |
| Independent Claims | 2 | - | 3 | = | 0 | x | \$84.00 | \$0.00 |
| Multiple Dependent Claims | \$ | (if applicable) | | | | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | | | | \$948.00 |
| Reduction by ½ for Filing by Small Entity. | | | | | | | <input checked="" type="checkbox"/> | \$474.00 |
| Misc. Filing Fees (Recordation of Assignment) | | | | | | | | \$0.00 |
| TOTAL FEES DUE HERewith | | | | | | | | \$474.00 |

IV. CROSS REFERENCE TO RELATED APPLICATION

--This application claims priority to provisional patent application serial no. 60/398,508 filed on September 13, 2002, and is a continuation-in-part of U.S. patent application no. 10/376,676, filed February 26, 2003, currently pending.--

V. METHOD OF PAYMENT OF FEES

- ☒ Attached is a check in the amount of \$474.00.
- ☐ Charge Procopio, Cory, Hargreaves & Savitch's Deposit Account No. 50-2075 in the amount of \$_____.
- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

VI. AUTHORIZATION TO CHARGE ADDITIONAL FEES

The Commissioner is hereby authorized to credit Procopio, Cory, Hargreaves & Savitch's Deposit Account No. 50-2075 for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 50-2075:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 (Application processing fees)
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 27189:



PROCOPIO, CORY, HARGREAVES &
SAVITCH LLP
530 B Street, Suite 2100
San Diego, CA 92101-4469
(619) 238-1900

Please direct all inquiries to Kam W. Li, at the above Customer Number.

Respectfully submitted,

PROCOPIO, CORY HARGREAVES
& SAVITCH LLP

Dated: September 12, 2003

By: _____

Kam W. Li
Reg. No. 34,211